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PATENT APPLICATION

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79276

Kunishige OE, et al.

Appln. No.: 10/760,389

Group Art Unit: 1732

Confirmation No.: 1311

Examiner: Not Assigned

Filed: January 21, 2004

For:

PROCESS OF MANUFACTURING OPTICAL WAVEGUIDE AND CONNECTION

STRUCTURE OF OPTICAL DEVICES

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/760,389

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 32,197

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: July 14, 2004

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Substitute for Form 1449 A & B/PTO	3 304	Complete if Known	
Substitute for Form 1447 A & D/I TO		Application Number	10/760,389
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STATEMENT BY APPLICANT		Filing Date	January 21, 2004
		First Named Inventor	Kunishige OE
(use as many sheets as necess	ary)	Art Unit	1732
•		Examiner Name	Not Assigned
Sheet 1 of	1	Attorney Docket Number	079276

U.S. PATENT DOCUMENTS					
Examiner Cite Initials* No.1	Cito	Document	Number	Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 6,017,681	Α	1/25/2000	Tsukamoto et al.
		US 5,902,715	Α	5/11/1999	Tsukamoto et al.
		US 6,081,632	A	6/27/2000	Yoshimura et al.
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FOREIGN PATENT DOCUMENTS							
Examiner Cite	For	Foreign Patent Document			Name of Patentee or	Translation ⁶	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*			Translation
		Patent Abstracts of Japan (1980), Matsushita Electric Industries Co., Ltd., Vol. 0040, No. 78	
		European Search Report dated May 25, 2004	
			

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.